

Kodaira's Embedding Theorem

1. What is Kodaira's embedding theorem?
2. Preliminaries from complex geometry:
 - i. Connection on vector bundles.
 - ii. Hermitian vector bundle and Chern connection.
 - iii. Kahler manifolds.
 - iv. Blow-up of a complex manifold.
 - v. Positivity of a line bundle.
3. Proof of Kodaira's embedding theorem.

Introduction:

A complex manifold is said to be projective if it is a closed submanifold of some complex projective space \mathbb{CP}^n or \mathbb{P}^n

Since any projective space is compact, any projective manifold is automatically compact. In general, geometry of a projective manifold is much easier to handle than arbitrary manifolds.

A complex projective space admits a natural Hermitian metric, called the Fubini-Study metric (to be defined later), which has a nice property: namely, it is a Kähler metric.

This makes a complex projective space a Kähler manifold.

Q. Are complex projective manifolds Kähler?

Given a complex submanifold Y of a Kähler manifold X , restricting its Kähler metric we get a Kähler metric on Y . This makes Y a Kähler manifold (To be discussed later). In particular, any complex projective manifold is Kähler.

However, there are examples of Kähler manifolds which are not projective.

Q. Is being a Kähler manifold a topological property?

For a compact complex surface, it is. But not in general.

Fact: A compact complex surface is Kähler if and only if its first betti number $b_1(X)$ is even.

However, this is not the case from dimension 3 onwards.

Hironaka constructed a family of examples of a compact complex non-Kähler manifolds of dimension 3 which are diffeomorphic to complex projective manifolds.

However, one can ask for criterion for a compact Kähler manifold to be a complex projective manifold.

This was answered by Kodaira in his famous embedding theorem.

Kodaira's Embedding Theorem:

A compact Kähler manifold X is projective if and only if X admits a positive line bundle.

Before going into the proof of this theorem, we need to understand Kähler manifolds, positive line bundles etc. So let's review some technologies from complex geometry.

Let E be a complex C^∞ vector bundle on a complex manifold X . Let $A_X^0 = C_X^\infty$ be the sheaf of C^∞ functions on X . For $p \geq 1$, let A_X^p be the sheaf of differential p -forms on X . Define $A_X^p(E) = A_X^p \otimes E$, the sheaf of differential p -forms on X with values in E . For $p=0$, $A_X^0(E) =$ sheaf of C^∞ -sections of E .

Definition: A C^∞ connection on a complex vector bundle E over X is a \mathbb{C} -linear map

$$D: A_X^0(E) \longrightarrow A_X^1(E) = E \otimes A_X^1$$

satisfying the Leibniz rule:

$$D(f \cdot s) = s \otimes df + f \cdot D(s), \text{ for all locally}$$

defined sections $f \in A_X^0$ and $s \in A_X^0(E)$.

Let $E|_U \cong U \times \mathbb{C}^r$. Choose $s = (s_1, \dots, s_r)$ with $s_i \in \Gamma(E|_U)$, such that $(s_1(x), \dots, s_r(x))$ is an ordered basis for $E_x, \forall x \in U$.

Then $D(s_i) = \sum_{j=1}^r \omega_{ij} s_j$, for some $\omega_{ij} \in \Gamma(U, A_X^1)$.

If $s = \sum_{i=1}^n f_i s_i$, for some $f_i \in \Gamma(U, A_X^0)$, then we have

$$D(s) = \sum_{i=1}^n s_i \otimes df_i + f_i D(s_i) = \sum_{i=1}^n \left(s_i \otimes df_i + f_i \sum_{j=1}^n \omega_{ij} s_j \right)$$

$$D(s) = \sum_{i=1}^n s_i \otimes \left(df_i + \sum_{j=1}^n f_j \omega_{ji} \right) \quad s = \begin{pmatrix} s_1 \\ \vdots \\ s_r \end{pmatrix}$$

$$\therefore \boxed{Ds = ds + \omega s}$$

where $\omega = (\omega_{ij})_{1 \leq i, j \leq n}$ is a matrix of 1-forms on X .

This matrix is called the connection matrix.

Given a C^∞ connection $D: A^0(E) \rightarrow A^1(E)$ on E ,
 extend it to a \mathbb{C} -linear operator

$$D: A^1(E) \longrightarrow A^2(E)$$

by setting $D(s \otimes \alpha) = Ds \wedge \alpha + s \otimes d\alpha$
 for all $s \in \Gamma(E)$ and $\alpha \in \Gamma(A^1_X)$.

Then $D \circ D: A^0(E) \xrightarrow{D} A^1(E) \xrightarrow{D} A^2(E)$ is A^0_X -linear,
 and hence we can consider it as a section

$$R_D = D \circ D \in \Gamma_{\mathbb{C}}(X, \text{End}(E) \otimes A^2_X),$$

2-form on X with values in $\text{End}(E)$. This is called the

curvature of D . If $R_D = D \circ D = 0$, we call D a flat connection, and in this case (E, D) is called a flat vector bundle.

Definition: A hermitian metric on E is given by a C^∞ field of hermitian structure on the fibers of E . More precisely, for each $x \in X$ the fiber E_x admits a hermitian metric

$$h_x: E_x \times E_x \longrightarrow \mathbb{C}$$

(where (i) h_x is \mathbb{C} -linear in the first variable,

$$(ii) h_x(v, v') = \overline{h_x(v', v)}, \quad \forall v, v' \in E_x,$$

$$(iii) h_x(v, v) > 0 \quad \text{for } v \neq 0 \text{ in } E_x)$$

such that for any C^∞ sections $\underline{\xi}, \underline{\eta} \in \Gamma(U, E)$, the map

$$h(\underline{\xi}, \underline{\eta}): U \longrightarrow \mathbb{C}$$

$$x \longmapsto h_x(\xi_x, \eta_x)$$

is a C^∞ function on $U \subseteq_{\text{open}} X$.

The pair (E, h) is called a Hermitian vector bundle.

Definition: A C^∞ connection D on a Hermitian vector bundle (E, h) is called a h-connection if

$$h(D\xi, \eta) + h(\xi, D\eta) = d h(\xi, \eta), \quad \forall \xi, \eta \in A^0_{\text{cl}}(E).$$

where $d: A^0_X \rightarrow A^1_X$ is the exterior differential operator.

Choose a local frame field $s_1, \dots, s_r \in \Gamma(U, E|_U)$.

Let $\underline{\omega} = (\omega_{ij})_{1 \leq i, j \leq n}$ be the matrix of the h -connection D_h on E . Then setting $h_{ij} = h(s_i, s_j) \quad \forall 1 \leq i, j \leq n$, we have

$$\begin{aligned} dh_{ij} &= h(Ds_i, s_j) + h(s_i, Ds_j) \\ &= \sum_{k=1}^r \omega_{ik} h_{kj} + \sum_{l=1}^r \bar{\omega}_{j\bar{l}} h_{i\bar{l}} \end{aligned}$$

In matrix notation, $\boxed{dH = \omega^t H + H \bar{\omega}}$, where $H = (h_{ij})$.

$$\Rightarrow 0 = d^2 H = d\omega^t \otimes H + H \otimes d\bar{\omega} \Rightarrow \boxed{\Omega^t H + H \bar{\Omega} = 0}$$

where $\Omega = d\omega$ is the matrix of the curvature form $R_D = D \circ D$.

Proposition: Given a holomorphic vector bundle E on a complex manifold X , and a hermitian metric h on E , there is a unique h -connection $D_h: A^0(E) \rightarrow A^1(E)$ on E such that $\pi_{0,1} \circ D_h = \bar{\partial}_E$,

$$\begin{array}{ccc} A^0(E) & \xrightarrow{D} & A^1(E) = A^{1,0}(E) \oplus A^{0,1}(E) \\ & \searrow \bar{\partial}_E & \downarrow \pi_{0,1} \\ & & A^{0,1}(E) \end{array}$$

where $\bar{\partial}_E$ is the holomorphic structure on E .

This unique connection D_h on E is called the Hermitian connection or Chern connection on E .

Remark: The Chern connection D_h is not holomorphic. In fact, its curvature R_{D_h} coincides with the Atiyah class $A_E \in H^1(X, \text{End}(E) \otimes \Omega_X^1)$. Therefore, E admits a holomorphic connection if and only if the cohomology class $[R_{D_h}] \in H^1(X, \text{End}(E) \otimes \Omega_X^1)$ is trivial.

- Note that, given any Chern connection D_h , any other Chern connection $D_{h'}$ on E differs by a C^∞ function, and so $R_{D_h} - R_{D_{h'}} = \bar{\partial}f$, for some $f \in A_X^0$. So their cohomology classes are same.

Let

$$\begin{array}{ccc}
 & \xrightarrow{D' = \pi_{1,0} \circ D} & A^{1,0}(E) \\
 & \nearrow & \uparrow \pi_{1,0} \\
 A^0(E) & \xrightarrow{D} & A^1(E) = A^{1,0}(E) \oplus A^{0,1}(E) \\
 & \searrow D'' & \downarrow \pi_{0,1} \\
 & & A^{0,1}(E)
 \end{array}$$

Then $D = D' + D''$, and hence

$$R_D = D \circ D = D'^2 + D'D' + D''D' + D''^2$$

$$\text{Since } D_h'' = \bar{\partial}_E, \quad D_h''^2 = \bar{\partial}_E^2 = 0.$$

Since $\boxed{\Omega^t H + H \bar{\Omega} = 0}$, where Ω is the matrix of R_D , we conclude that $D_h'^2 = 0$, and hence

$$R_D = D \circ D = D' \circ D'' + D'' \circ D' \in A_X^{1,1} \otimes \text{End}(E)$$

is a $(1,1)$ -form on X with values in $\text{End}(E)$.

$$I: TM \rightarrow TM \ni I^2 = -1$$

A **hermitian structure** on $X = (M, I)$ is given by a hermitian metric h on the holomorphic tangent bundle TX .

(Remark: Note that TX is isomorphic to $T^{1,0}M$ as complex vector bundles via the homomorphism

$$TX \xrightarrow{\sim} T^{1,0}M$$

$$\xi \mapsto \frac{1}{2}(\xi - \sqrt{-1} \cdot I(\xi))$$

[Note: A holomorphic section ξ of TX is a C^∞ section of $TM \otimes \mathbb{C}$]

Let (z_1, \dots, z_n) be a holomorphic local coordinate system on $U \subseteq X$, and let $z_j = x_j + \sqrt{-1} y_j \quad \forall 1 \leq j \leq n$.

$$\text{Let } \frac{\partial}{\partial z_j} := \frac{1}{2} \left(\frac{\partial}{\partial x_j} - \sqrt{-1} \frac{\partial}{\partial y_j} \right) \quad \left. \vphantom{\frac{\partial}{\partial z_j}} \right\} \forall 1 \leq j \leq n.$$

$$\text{and } \frac{\partial}{\partial \bar{z}_j} := \frac{1}{2} \left(\frac{\partial}{\partial x_j} + \sqrt{-1} \frac{\partial}{\partial y_j} \right)$$

Then $(\frac{\partial}{\partial z_1}, \dots, \frac{\partial}{\partial z_n})$ is a holomorphic local frame of $TX = T^{1,0}M$ and $(\frac{\partial}{\partial \bar{z}_1}, \dots, \frac{\partial}{\partial \bar{z}_n})$ is a local frame field for $T^{0,1}M = \overline{T^{1,0}M}$.

Let $(dz_j = dx_j + i dy_j)_{j=1}^n$ be the holomorphic frame field for the holomorphic cotangent bundle T^*X dual to $(\frac{\partial}{\partial z_1}, \dots, \frac{\partial}{\partial z_n})$. i.e., $dz_j(\frac{\partial}{\partial \bar{z}_i}) = \delta_{ij} \quad \forall i, j$.

Similarly, let $(d\bar{z}_j = dx_j - i dy_j)_{j=1}^n$ be the local frame field for $(T^{0,1}M)^*$ dual to $(\frac{\partial}{\partial \bar{z}_1}, \dots, \frac{\partial}{\partial \bar{z}_n})$.

Let h be a Hermitian structure on X .

Considering h as a \mathbb{C}^∞ section of the \mathbb{C}^∞ vector bundle

$$(TX)^* \otimes (\overline{TX})^* = (T^{1,0}M)^* \otimes (T^{0,1}M)^*,$$

we can write $h = \sum_{\bar{i}, \bar{j}=1}^n h_{\bar{i}\bar{j}} dz_{\bar{i}} \otimes d\bar{z}_{\bar{j}}$,

where $h_{\bar{i}\bar{j}} = h\left(\frac{\partial}{\partial z_{\bar{i}}}, \frac{\partial}{\partial \bar{z}_{\bar{j}}}\right)$, $\forall 1 \leq \bar{i}, \bar{j} \leq n$.

Definition: A hermitian structure h on $X=(M, I)$

is said to be **Kähler** if the induced real $(1,1)$ -form (known as the **fundamental form of h**)

$$\omega_h := h(I(-), -)$$

is d -closed, i.e., $d\omega_h = 0$.

In terms of local holomorphic coordinates, ω_h can be written as

$$\omega_h = \sqrt{-1} \sum_{\bar{i}, \bar{j}=1}^n h_{\bar{i}\bar{j}} dz_{\bar{i}} \wedge d\bar{z}_{\bar{j}}$$

where $h_{\bar{i}\bar{j}} = h\left(\frac{\partial}{\partial z_{\bar{i}}}, \frac{\partial}{\partial \bar{z}_{\bar{j}}}\right)$, $\forall 1 \leq \bar{i}, \bar{j} \leq n$.

Remark: $\overline{\omega_h} = \omega_h$, and hence ω_h is a real $(1,1)$ -form.

Remark: A hermitian metric h on X is Kähler if and only if the associated Chern connection D_h on TX is torsion free in the sense that

$$\text{tor}(D_h)(\xi, \eta) := D_h(\xi)(\eta) - D_h(\eta)(\xi) - [\xi, \eta] = 0$$

for all locally defined sections ξ and η of TX .

A Kähler manifold is a complex manifold $X=(M, I)$ admitting a Kähler metric.

Remark: A complex manifold always admits a hermitian metric, but need not admit any Kähler metric at all!

Since $\omega_h = h(I(-), -)$, and h is compatible with the almost complex structure I on X in the sense that $h(I(-), I(-)) = h(-, -)$, we can recover the metric h from ω_h and I .

$$\begin{aligned} \text{Indeed, } h(\xi, \eta) &= h(I\xi, I\eta) = \omega_h(\xi, I\eta), \forall \xi, \eta \\ &\Rightarrow h(-, -) = \omega_h(-, I(-)). \end{aligned}$$

Then we have the following:

Lemma: Let ω be a d -closed real $(1,1)$ -form on a complex manifold X . If ω is positive definite (i.e., locally ω is of the form

$$\omega = \sqrt{-1} \sum h_{i\bar{j}} dz_i \wedge d\bar{z}_j$$

with $H = (h_{i\bar{j}})_{1 \leq i, j \leq n}$ a positive definite Hermitian matrix), then \exists a Kähler metric h on X such that $\omega = \omega_h$, ω_h is the fundamental form of h .

Corollary: The set of all d -closed positive definite real $(1,1)$ -forms in $A^{1,1}(X)$ are all Kähler forms on X .

Corollary: The set of all Kähler forms on X forms an open convex cone in the linear space $\{ \omega \in A^{1,1}(X) \cap A^2(X, \mathbb{R}) \mid d\omega = 0 \}$

Proof: The positive definiteness of a C^∞ family of hermitian matrices being open property, openness follows.

Since for any two Kähler forms ω_1, ω_2 we have $\omega_1 + \omega_2$ and $\lambda\omega_1$ is Kähler $\forall \lambda \in \mathbb{R}_{>0}$, the result follows.

Examples:

1. Fubini-Study metric on $\mathbb{P}_{\mathbb{C}}^n$ is a canonical Kähler metric on $\mathbb{P}_{\mathbb{C}}^n$. This is defined as follow:

Let $\mathbb{P}_{\mathbb{C}}^n = \bigcup_{i=0}^n U_i$ be the standard open covering

where $\varphi_i : U_i \xrightarrow{\sim} \mathbb{C}^n$ is the homeomorphism

$$(z_0 : z_1 : \dots : z_n) \mapsto \left(\frac{z_0}{z_i}, \dots, \frac{z_i}{z_i}, \dots, \frac{z_n}{z_i} \right) \in \mathbb{C}^n$$

Define $\omega_i := \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log \left(\sum_{j=0}^n \left| \frac{z_j}{z_i} \right|^2 \right) \in A^{1,1}(U_i)$

Since $d = \partial + \bar{\partial}$ and $\bar{\partial}^2 = 0 = \partial^2$, we have $\partial \bar{\partial} + \bar{\partial} \partial = 0$.

Therefore, $\bar{\partial} \partial = \bar{\partial} \partial = -\partial \bar{\partial}$, and hence $\bar{\omega}_i = \omega_i, \forall i$.

So ω_i is a real $(1,1)$ -form on $U_i, \forall i$.

To show that $\{\omega_i \in A^{1,1}(U_i)\}_{i=0}^n$ glue together to give a global real $(1,1)$ -form on $X = \mathbb{P}_{\mathbb{C}}^n$, note that

$$\begin{aligned} \log \left(\sum_{k=0}^n \left| \frac{z_k}{z_i} \right|^2 \right) &= \log \left(\left| \frac{z_j}{z_i} \right|^2 \sum_{k=0}^n \left| \frac{z_k}{z_j} \right|^2 \right) \\ &= \log |z_j/z_i|^2 + \log \left(\sum_{k=0}^n \left| \frac{z_k}{z_j} \right|^2 \right). \end{aligned}$$

So it suffices to show that $\partial \bar{\partial} (\log |z_j/z_i|^2) = 0$ on $U_i \cap U_j$.

Since z_j/z_i is the j th-coordinate function on U_i , this follows

$$\begin{aligned} \text{from } \partial \bar{\partial} \log |z|^2 &= \partial \bar{\partial} \log (z \bar{z}) \\ &= \partial \left(\frac{1}{z \bar{z}} \bar{\partial} (z \bar{z}) \right) = \partial \left(\frac{z d \bar{z}}{z \bar{z}} \right) = 0. \end{aligned}$$

$$\left[\begin{aligned} \bar{\partial}(z\bar{z}) &= \bar{\partial}(z) \cdot \bar{z} + z \bar{\partial}(\bar{z}) = 0 + z = z \\ \Rightarrow \bar{\partial}\left(\frac{\bar{\partial}(z\bar{z})}{z\bar{z}}\right) &= \bar{\partial}\left(\frac{z}{z\bar{z}}\right) = \bar{\partial}\left(\frac{1}{\bar{z}}\right) = 0 \end{aligned} \right]$$

Thus $\{\omega_i \in A^{1,1}(U_i)\}_{i=0}^n$ glues together to define a global real $(1,1)$ -form $\omega_{FS} \in A^{1,1}(\mathbb{P}_{\mathbb{C}}^n)$.

Now it remains to show that ω_i is positive definite, for all i . Setting $z_k/z_i = v_k$, and permuting indices, we see that

$$\begin{aligned} \partial\bar{\partial} \log\left(\sum_{k=0}^n \left|\frac{z_k}{z_i}\right|^2\right) &= \partial\bar{\partial} \log\left(1 + \sum_{\hat{i}=1}^n |v_i|^2\right) \\ &= \frac{\sum dv_i \wedge d\bar{v}_i}{1 + \sum |v_i|^2} - \frac{(\sum \bar{v}_i dv_i) \wedge (\sum v_i d\bar{v}_i)}{(1 + \sum |v_i|^2)^2} \end{aligned}$$

$$= \frac{1}{(1 + \sum |v_i|^2)^2} \sum_{\hat{i}, \hat{j}=1}^n h_{ij} dv_i \wedge d\bar{v}_j$$

where $h_{ij} = (1 + \sum |v_i|^2) \delta_{ij} - \bar{v}_i v_j$.

Then one can check that the matrix $(h_{ij})_{1 \leq i, j \leq n}$ is positive definite.

Note that for the natural projection

$$\pi: \mathbb{C}^{n+1} \setminus \{0\} \longrightarrow \mathbb{P}_{\mathbb{C}}^n = (\mathbb{C}^{n+1} \setminus \{0\}) / \mathbb{C}^*,$$

$$\pi^* \omega_{FS} = \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log(\|z\|^2).$$

Over $\pi^{-1}(U_i) = \{(z_0, \dots, z_n) \in \mathbb{C}^{n+1} \setminus \{0\} \mid z_i \neq 0\}$,

we have,

$$\pi^* \omega_{FS} = \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log \left(\sum_{k=0}^n \left| \frac{z_k}{z_i} \right|^2 \right)$$

$$= \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} (\log \|z\|^2 - \log(|z_i|^2))$$

Since $\partial \bar{\partial} \log(|z_i|^2) = 0$ (as we have seen above),

$$\text{we get } \pi^* \omega_{FS} = \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log(\|z\|^2).$$

Lemma: Let $Y \subset X$ be a complex submanifold of a complex manifold X . Then the restriction $h|_Y$ of any Kähler metric h on X is again Kähler.

Proof: Let I be the almost complex structure on X induced by its complex structure. Then $I_Y = I|_Y$ coincides with the almost complex structure induced from the complex structure of Y . If h is a Hermitian metric on X , and $I|_Y = I_Y$ preserves TY , $h_Y := h|_Y$ remains Hermitian metric compatible with I_Y , i.e., $h_Y(I_Y(-), I_Y(-)) = h_Y(-, -)$. Then $d_Y(\omega_{h_Y}) = d_Y h_Y(I_Y(-), (-)) = d_X h(I(-), (-))|_Y = 0$ and hence ω_{h_Y} is Kähler.

Corollary: Any projective manifold is Kähler.

Proof: Since projective manifolds are by definition closed submanifolds of a projective space, restricting Fubini-Study metric, we get the required Kähler metric.

Remark: There could be many Kähler metric on a submanifold $Y \subseteq X$, which do not come as a restriction of some Kähler metric on X .